

NEBRASKA

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DEPARTMENT OF TRANSPORTATION

NEBRASKA DEPARTMENT OF
TRANSPORTATION
TRANSIT SECTION

DBE GOAL SETTING METHODOLOGY
FY 2018-2020



For

U.S. Department of Transportation
Federal Transit Administration

July 2017

FTA DBE Goal Setting Methodology for 2018-2020

Nebraska Department of Transportation (NDOT) Transit Section

To comply with 49 CFR Part 26, the Nebraska Department of Transportation (NDOT), Transit Section, established an overall DBE goal based on the availability of ready, willing and able DBEs relative to the availability of all ready, willing, and able businesses within the same industry. Industries are identified using the [North American Industry Classification System \(NAICS\)](#).

NDOT's FY 2018-2020 FTA DBE Goal = 0.18%

Step 1: Definition of "Local Market Area"

For the purpose of calculating the DBE goal, the State of Nebraska is the local market area.

Step 2: Base Figure Calculation

The base figure was calculated using the relative availability of DBEs in relation to the availability of non-DBE firms in the state for the types of contracts we anticipated awarding. The [NDOT DBE directory](#) and [Census Bureau Data](#) were used to determine the base figure. The NDOT DBE directory provided information regarding the availability of all ready, will, and able businesses certified by NDOT. The Census Bureau data in Nebraska was analyzed to determine the number of all businesses available in the market within the same NAICS codes.

To determine contracting opportunities in the NAICS work classifications referenced in the tables below, the following sources were used:

1. Funds requested for the work classifications of insurance, automotive repair and maintenance, gasoline were calculated from the fiscal year 2018 Section 5311 operating assistance applications. Funding requests have remained consistent from year to year so the funds requested for 2018 were used as a base figure to estimate funds through 2020.
2. There are currently three capital construction projects in the planning stages. The work classification costs for engineering and construction costs were identified in the proposed budgets. Future projects may include a transit hub in the Omaha area and additional bus storage facility construction in the rural areas.
3. Precision Compliance, Inc., an approved DBE firm (as of April 2017), is currently contracted with NDOT to provide drug and alcohol testing compliance to the Transit Section and subrecipients. The contract was recently extended through 2020.
4. NDOT currently contracts with a consultant for a statewide mobility management project. The current agreement expires March 2018 but the project will continue after a new request for proposal is released and a consultant is selected.

5. NDOT currently contracts with the University of Nebraska for a variety of services including driver training, software development and marketing. The contract has recently been extended through 2021.
6. Enterprise Rideshare has recently been contracted by NDOT to provide a statewide turnkey vanpool program in Nebraska. The contract extends through 2020.
7. Future, potential FTA-funded contracts for 2018-2020 include a mobility management project and scheduling/dispatching software for Section 5311 subgrantees. It is estimated these contracts could total \$2,500,000
8. After reviewing the above, the current and potential contracting opportunities are estimated at a total of \$12,255,663 in contracting opportunities for fiscal years 2018-2020.

NAICS	2017 NAICS Classification	DBE Firms	All Vendors
2362	Nonresidential Building Construction	11	5676
4471	Gasoline Stations	0	952
5242	Insurance Agencies and Brokerages	3	4201
5416	Management, Scientific and Technical Consulting Services	20	4448
8111	General Automotive Repair	0	3989
	TOTAL	34	19,266

Ready, willing and able DBEs

34

All ready, willing and able firms (DBE & non-DBE)

19,266 = 0.18% Base Figure

Step 3: Past DBE participation

DBE participation for the past three fiscal years is summarized below. **No adjustments were made due to past participation.**

State Fiscal Year	Achieve Participation
2015	0.00%
2016	0.00%
2017	1.6% (avg of semiannual reports)

As shown above there was no DBE participation in fiscal years 2015 and 2016. However, in state fiscal year 2017 a women-owned, DBE approved business was sub-contracted for a mobility management project. In addition, a previously contracted consultant became a certified DBE in Nebraska.

The limited amount of primes and sub-contractor participation is the justification for using entirely race-conscious measures for meeting the current DBE goal. However, race-neutral means may still be used to meet all or part of the DBE goal.

Step 4: Percent of awards by NAICS Classification

To determine the weight of each factor, project amounts are assigned relevant NAICS codes.

NAICS	2017 NAICS Classification	Amount of Federal Funds	% of total DOT funds
2362	Nonresidential Building Construction	\$1,907,000	15%
4471	Gasoline	\$3,052,029	25%
5242	Insurance Agencies and Brokerages	\$1,637,400	13%
5416	Management, Scientific and Technical Consulting Services	\$3,984,634	33%
8111	General Automotive Repair	\$1,674,600	14%
	TOTAL	\$12,255,663	

Step 5: Consultation and Solicitation of DBE Participation

The DBE goal was available for public comment on NDOT’s Transit website and at nebraskatransit.com and on our Facebook page. The goal and summary were posted from July 11, 2017 through July 30, 2017 in both English and Spanish. No comments were received by NDOR during this timeframe.

NDOT has a DBE Unified Certification Program (NUCVP) and maintains a certified DBE list. The focus of DBE participation for the NDOT Civil Rights Office is projects funded through the Federal Highway Administration. However, the Civil Rights Office and DBE Program Coordinator worked with the NDOT Transit Manager to develop an outreach strategy to increase both FTA and FHWA DBE participation.

The University of Nebraska (NU) was contracted to produce a list of potential DBEs to facilitate contact with small and minority owned businesses. NU downloaded the DBE directories from the following states, filtered them to meet the criteria and identified 2,267 certified DBEs which were added to the instate mailing list:

1. Wyoming
2. Colorado
3. Kansas
4. Missouri
5. Iowa
6. South Dakota

The final mailing list to potential DBEs included 16,121 records. A brochure was also created to include with a letter explaining the program and inviting applications.

In addition, NU compiled a list of over 150 community leaders, Nebraska chambers of commerce, business development centers, etc. Letters sent to these organizations explained the program, included the new DBE brochure and invited their participation to promote and support the program at a local level. Sample letters and the brochure are included as attachments in Exhibit A.

Step 6: Notification on Contracting Opportunities

All state requests for proposals are advertised on the Nebraska Department of Administrative Services website. For non-state projects, notifications are placed in local newspapers.

Contracting opportunities for transit related projects are limited. Over half of NDOT's 104 certified DBE companies are out of state. Approximately 37% are located in the large urban areas with the remaining in the rural areas.

Step 7: Methods to Meet the DBE Goal

Part 49 CFR 26.51 requires an overall goal submitted with the methodology to meet the DBE participation within a program. The following is a list of those methods to be implemented by NDOT Transit Section and Civil Rights Office:

1. The DBE Coordinator presents NDOT's DBE program at business and contractor related events across the state.
2. The Coordinator also provides technical assistance as required to complete the application process.
3. A brochure has been developed to further explain the objectives of the DBE program and how to apply. These brochures will be made available to businesses and community organizations as needed.
4. We will place a notice of the approved DBE goal on the NDOT Transit Section website at nebraskatransit.com.

APPENDIX

Page 6 – 7	DBE Promotional Brochure
Page 8	NDOT Organization Chart
Page 9	Notice for Public Comment (English)
Page 10	Notice for Public Comment (Spanish)
Page 11	Letter to Potential DBEs
Page 12	Letter to Community Organizations
Page 13	Public Comment (English) on Website
Page 14	Public Comment (Spanish) on Website
Page 15	Public Comment (English) on Facebook Page
Page 16	Public Comment (Spanish) on Facebook Page